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**FACSIMILE TRANSMITTAL**

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**TO:** Mail Stop Missing Parts  
**COMPANY:** U.S. Patent and Trademark Office  
**FAX NO.:** 703-746-4060

**FROM:** Jerri Pearson  
**COMPANY:** Alcatel USA  
Intellectual Property Department  
**FAX NO.:** 972-477-9328  
**SENDER'S TELEPHONE NO.:** 972-477-9128


**DATE:** January 18, 2005  
**TOTAL PAGES (incl. cover)** 30



Serial No: 10/751,098  
Attorney Docket No.: 134158

I hereby certify that the following documents are being transmitted via facsimile to the U.S. Patent and Trademark Office on January 18, 2005. Each document is comprised of 1 page unless otherwise specified.

1. Facsimilie Transmittal
2. Response to Notice to File Missing Parts of NonProvisional Application
3. Notice to File Missing Parts of Nonprovisional application
4. Fee Transmittal for FY 2005
5. Declaration and Power of Attorney for Patent Application (13 pgs)
6. Recordation Form Cover Sheet Patents Only
7. Assignment (12 pgs)

  
Jerri Pearson

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PTO/SB/17 (12-04)

Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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Effective on 12/08/2004,  
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

## FEE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

### Complete If Known

Application Number **10/751,098**

Filing Date **12/31/2003**

First Named Inventor **Harpal Mann**

Examiner Name **Unknown**

Art Unit **2661**

Attorney Docket No. **134158**

### METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

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under 37 CFR 1.16 and 1.17

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### FEE CALCULATION

#### 1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	1,000.00
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

#### 2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	0	x 50.00	= 0.00			
HP = highest number of total claims paid for, if greater than 20				360.00		0.00

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	0	x 200.00	= 0.00
HP = highest number of independent claims paid for, if greater than 3			

#### 3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(u)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	0	/ 50 = 0 (round up to a whole number) x	250.00	= 0.00

#### 4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other: Surcharge for late filing of Oath or Declaration under 37 CFR 1.16(e)

Fees Paid (\$)

0.00

130.00

#### SUBMITTED BY

Signature

Name (Print/Type) **Craig A. Hoersten**

Registration No. **38,917**  
(Attorney/Agent)

Telephone **(972) 519-5143**

Date **1/18/2005**

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Serial No.: 10/751,098

Examiner: Unknown

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Harpal Mann et al  
**Serial No.:** 10/751,098  
**Filed:** 12/31/2003  
**Title:** Virtual Chassis for Continuous Switching

**Docket No.:** 134158  
**Art Unit:** 2661  
**Examiner:** Unknown

RESPONSE TO NOTICE TO FILE MISSING PARTS  
OF NONPROVISIONAL APPLICATION

**MAIL STOP MISSING PARTS**  
Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

## Certificate Under 37 C.F.R. § 1.8(a)

I hereby certify that this correspondence is being transmitted via facsimile to the Patent Office to telephone number 703-746-4060, on January 18, 2005 in accordance with 37 C.F.R. §1.6(d).

By:   
Jenn Pearson

Dear Sir:

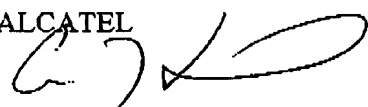
Enclosed are the following documents:

- Notice to File Missing Parts of Application, Filing Date Granted, mailed 01/11/2005;
- Declaration and Power of Attorney for inventor, Michele Goodwin, Eric Guinee, and Ronan Le Guen, signature dated 6/29/2004; 6/15/2004; and 6/15/2004; and
- Assignment and Recordation Cover Sheet for inventor, Michele Goodwin, Eric Guinee, and Ronan Le Guen, signature dated 6/29/2004; 6/15/2004 and 6/15/2004.

Applicants respectfully request that the filing date of 12/31/2003 be granted for this application.

Respectfully submitted,

ALCATEL

  
Craig A. Hoersten  
Reg. No. 38,917Dated: 1-18-05

Alcatel USA  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/751,098	12/31/2003	Harpal Mann	134158

35114  
ALCATEL INTERNETWORKING, INC.  
ALCATEL-INTELLECTUAL PROPERTY DEPARTMENT  
3400 W. PLANO PARKWAY, MS LEGL2  
PLANO, TX 75075

CONFIRMATION NO. 1335

## FORMALITIES LETTER

\*OC000000014922096\*

Date Mailed: 01/11/2005



## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Michele Goodwin, Eric Guinee, Ronan Le Guen*

Replies should be mailed to: Mail Stop Missing Parts  
Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

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PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/21/2005 MAHMD1 00000079 500838 10751098

01 FC:1051 130.00 DA